Australian Financial Services Licensee

DOO FINANCIAL AUSTRALIA LIMITED
AFS Licence Number 222650

Extracted from ASIC's database at AEST 18:32:41 on 22/11/2021

Current Details	
Name:	DOO FINANCIAL AUSTRALIA LIMITED
Licence Number:	222650
Status:	Current
ABN:	50 100 139 820
Commenced:	21/10/2002
Addresses	
Principal Business Address:	Level 4, 28-34 O'Connell Street, SYDNEY NSW 2000
Service Address:	Level 4, 28-34 O'Connell Street, SYDNEY NSW 2000
Roles	
Role:	Auditor of FS Licensee
Name:	STEVEN ANDREW RUSSO
Commenced:	07/05/2021
External Dispute Resolution	
Scheme:	Australian Financial Complaints Authority
Membership Number:	11251
Commenced:	01/05/2018
Licence Authorisation Conditions	
From:	08/11/2017
Details:	1. This licence authorises the licensee to carry on a financial services business to: (a) provide general financial product advice for the following classes of financial products: (i) deposit and payment products limited to: (A) basic deposit products; (ii) derivatives; (iii) debentures, stocks or bonds issued or proposed to be issued by a government; (iv) interests in managed investment schemes excluding investor directed portfolio services; and (v) securities; (b) deal in a financial product by: (i) issuing, applying for, acquiring, varying or disposing of a financial product in respect of the following classes of financial products: (A) derivatives; and (B) interests in managed investment schemes excluding investor directed portfolio services; and (ii) applying for, acquiring, varying or disposing of a financial product on behalf of another person in respect of the following classes of products: (A) deposit and payment products limited to:

Licence Authorisation Conditions
(1) basic deposit products; (B) derivatives; (C) general insurance products; (D) debentures, stocks or bonds issued or proposed to be issued by a government; (E) interests in managed investment schemes excluding investor directed portfolio services; and (F) securities; (c) operate the following kinds of registered managed investment schemes (including the holding of any incidental property) in its capacity as responsible entity: (i) schemes which only hold the following types of property: (A) direct real property; (B) financial assets; and (C) mortgages; and (d) provide the following custodial or depository services: (i) operate custodial or depository services other than investor directed portfolio services; to retail and wholesale clients.